



2811

#9

5-8-01

Patent Roberto

TECHNICAL CENTER 2800  
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APR 17 2001  
Not Needed

Attorney's Docket No.: 042390.P5488D6

In re the Application of: Chia-Hong Jan et al.

(inventor(s))

Application No.: 09/477,764

Filed: January 4, 2000

For: A DEVICE HAVING RECESSED SPACERS FOR IMPROVED SALICIDE RESISTANCE ON POLYSILICON GATES (as amended)

(title)

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SIR: Transmitted herewith is a **Amendment** for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) Claims Remaining After Amd.		(Col. 2) Highest No. Previously Paid For	(Col. 3) Present Extra
Total Claims	* 5	Minus	** 7	0
Indep. Claims	* 1	Minus	*** 3	0
<b>First Presentation of Multiple Dependent Claim(s)</b>				

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

SMALL ENTITY

Rate	Additional Fee
X9	\$
X39	\$
+130	\$
Total Add. Fee	\$

OTHER THAN A  
SMALL ENTITY

Rate	Additional Fee
X18	\$ 0
78	\$ 0
+260	\$
Total Add. Fee	\$ 0

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on April 9, 2001  
Date of Deposit

Teresa Edwards

Name of Person Mailing Correspondence

*Teresa Edwards*  
Signature

Apr 19, 2001  
Date

- ☐ A check in the amount of \$ \_\_\_\_\_ is attached for presentation of additional claim(s).  
☒ Applicant(s) hereby Petition(s) for an Extension of Time of One month(s) pursuant to 37 C.F.R. § 1.136(a).  
☒ A check for \$ 110.00 is attached for processing fees under 37 C.F.R. § 1.17.  
☐ Please charge my Deposit Account No. 02-2666 the amount of \$ \_\_\_\_\_.  
**A duplicate copy of this sheet is enclosed.**  
☒ The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 **(a duplicate copy of this sheet is enclosed)**:  
☐ Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.  
☒ Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: 4/9/01

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Attorney's Docket No.: 042390.P5488D6

Patent

#11/C  
5-22-01  
Robert  
Pie dmlt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Jan, C., et al.

U.S. Serial No: 09/477,764

Filed: January 4, 2000

For: A DEVICE HAVING RECESSED  
SPACERS FOR IMPROVED  
SALICIDE RESISTANCE ON  
POLYSILICON GATES

Examiner: Vu, Hung K.

Art Unit: 2811

Assistant Commissioner for Patents  
Washington, D.C. 20231

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PRELIMINARY AMENDMENT

Dear Sir:

Please enter the enclosed Preliminary Amendment and consider the following remarks prior to examination of the above referenced case.

FIRST CLASS CERTIFICATE OF MAILING

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on April 9, 2001

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Signature

April 9, 2001  
Date